

INSTRUCTIONS: All individuals conducting origination activities must submit this form to the Secretary of State's Securities Division. Origination activities are defined as "communication with or assistance of a borrower or prospective borrower in the selection of loan products or terms." *See* Indiana Code § 23-2-5-3(g). Complete all sections. For an initial registration, the originator must complete 24 hours of academic instruction, acceptable to the commissioner, in the previous 24 months. For a renewal registration, the originator must complete 12 hours of academic instruction, acceptable to the commissioner, in the previous 24 months. *See* Indiana Code § 23-2-5-21. Type or print. Illegible or incomplete forms will be returned.

TODD ROKITA SECRETARY OF STATE'S SECURITIES DIVISION 302 W. WASHINGTON ST. ROOM E-111 INDIANAPOLIS, IN 46204 317-232-6681 317-233-3675 FAX

NAME:			
HOME STREETADDRESS:			
CITY, STATE, ZIP:			
HOME TELEPHONE NUMBER:			
SOCIAL SECURITY NUMBER:			
LOAN BROKER FOR WHOM YO	OU WILL BE CONDUCTING ORI	GINATION ACTIVITIES:	
LOAN BROKER'S STREET ADD	DRESS:		
CITY, STATE, ZIP:			
LOAN BROKER'S TELEPHONE	NUMBER:		
I HEREBY CERTIFY UNDER TH	IE PENALTY OF PERJURY THAT	Γ DURING THE PREVIOUS 24 MG	ONTH PERIOD
IMMEDIATELY PRECEEDING	THE APPLICATION THAT I COM	IPLETED THE FOLLOWING ACA	DEMIC INSTRUCTION,
ACCEPTABLE TO THE COMMI	SSIONER, RELATED TO THE LO	OAN BROKERAGE BUSINESS.	
SIGNATURE:			
COURSE VENDOR	COURSE NAME	DATE OF COMPLETION	NUMBER OF HOURS